



TRANSMITTAL FORM	Application Number	Not Yet Assigned
<i>(To be used for all correspondence after initial filing)</i>	Filing Date	January 27, 2004
	Inventor	S.K. Ma
	Group Art Unit	Not Yet Assigned
	Examiner Name	Not Yet Assigned
Total Number of Pages in this Submission: 9	Attorney Docket Number	SVL920030110US1

ENCLOSURES (check all that apply)

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name:	David W. Victor, Registration No. 39,867
Signature:	
Date:	January 27, 2004
KONRAD RAYNES & VICTOR, LLP 315 South Beverly Drive, Suite 210 Beverly Hills, California 90212 (310) 556-7983	
<input checked="" type="checkbox"/> The Commissioner is authorized to charge any deficiency of fees, or credit any overpayment, to Deposit Account No. 09-0460	

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this Transmittal is being deposited with the United States Postal Service with sufficient postage for express mail in an envelope addressed to Commissioner for Patents, Alexandria, VA 22313-1450, or being facsimile transmitted to the USPTO, on the date indicated below.		
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FORM PTO-1082

Mail Stop Patent Application
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

Case Docket No. SVL920030110US1
January 27 2004
Express Mail Label No. EV821156787US
0056.0024

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Steven K. Ma

For: METHOD, SYSTEM, AND PROGRAM FOR NAVIGATING FILES



Enclosed are:

- ☒ 8 Sheet(s) of drawings; 15 pages of Application; 9 pages of specification; 1 page of abstract.
- ☒ Declaration and Power of Attorney (___ unsigned).
- ☒ An assignment of the invention to International Business Machines Corporation.
- ☐ An associate power of attorney.
- ☐ Certified copy of Patent Application No. filed from which priority is claimed under 35 U.S.C. §119.
- ☒ IDS; PTO-1449 and 10 references.
- ☒ Formal Drawings; 8 sheets.
- ☒ Return Postcard.

CALCULATION OF FEES					
	ITEM	NO. OF CLAIMS FILED MINUS BASE*	NO. OF CLAIMS OVER BASE	X FEE	\$ AMOUNT
A	TOTAL CLAIMS FEE	30 - 20 =	10	X \$18	\$180
B	INDEPENDENT CLAIMS FEE**	3 - 3 =	0	X \$86	\$0
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)				\$180
D	MULTIPLE-DEPENDENT CLAIMS FEE SMALL ENTITY FEE = \$140; LARGE ENTITY FEE = \$280				\$0
E	BASIC FEE* SMALL ENTITY FEE = \$370; LARGE ENTITY FEE = \$770				\$770
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)				\$950
G	ASSIGNMENT RECORDING FEE				\$40
	**LIST INDEPENDENT CLAIMS 1, 11, 21				

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A copy of this sheet is enclosed.

- ☐ A check in the amount of \$___ to cover the filing fee is enclosed.
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 - ☒ Any patent application processing fees under 37 CFR 1.17.
 - ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
 - ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

David W. Victor
Registration No. 39,867

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Express Mail Label No. EV821156787US
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for

Examiner: Not Yet Assigned

S.K. Ma

Serial No.: Not Yet Assigned

Art Unit: Not Yet Assigned

Filed: January 27, 2004

For: **METHOD, SYSTEM, AND
PROGRAM FOR NAVIGATING FILES**

CERTIFICATE OF MAILING

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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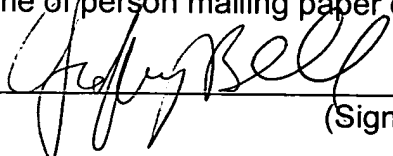
I hereby certify that patent application papers, including specification, claims and 8 sheets of drawings are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

January 27, 2004

(Date of Deposit)

Geoffrey Bell

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(Signature)